


11/14/01

11054 U.S. PTO

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09/993040  
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<b>Vidas, Arrett &amp; Steinkraus</b> <b>Utility Patent Application</b> <b>Transmittal</b>	Atty. Docket No		N47.2-10241																																																																		
	First Inventor		John C. Pederson et al.																																																																		
	Title:	LED WARNING LIGHT AND COMMUNICATION SYSTEM																																																																			
	Express Mail Label No.		EV013261194																																																																		
<b>Application Elements</b>	Address To:		Commissioner for Patents Box Patent Application Washington, DC 20231																																																																		
<table><tr><td>1. <input checked="" type="checkbox"/></td><td>Fee Transmittal Form</td><td>Pages 2</td></tr><tr><td></td><td><input checked="" type="checkbox"/> Check Included</td><td></td></tr><tr><td>2. <input checked="" type="checkbox"/></td><td>Applicant claims small entity status</td><td>Pages 1</td></tr><tr><td>3. <input checked="" type="checkbox"/></td><td>Specification (including pg cover sheet, 141pg description, 4pg claims and 1pg abstract)</td><td>Pages 146</td></tr><tr><td>4. <input checked="" type="checkbox"/></td><td>Drawings</td><td>Pages 40</td></tr><tr><td>5. <input checked="" type="checkbox"/></td><td>Oath or Declaration</td><td>Pages 3</td></tr><tr><td></td><td>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</td><td></td></tr><tr><td></td><td>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed)</td><td></td></tr><tr><td></td><td>i. <input type="checkbox"/> Deletion of Inventor(s) - signed statement attached deleting inventors named in the prior application</td><td>Pages</td></tr><tr><td>6. <input checked="" type="checkbox"/></td><td>Application Data Sheet</td><td>Pages 2</td></tr><tr><td>7. <input checked="" type="checkbox"/></td><td>Assignment Papers (cover sheet &amp; documents and check) <input checked="" type="checkbox"/> Previously recorded on Feb. 26, 2001, Reel 011569, Frames 0587</td><td>Pages 6</td></tr><tr><td>8. <input checked="" type="checkbox"/></td><td>Power of Attorney <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)</td><td>Pages 1</td></tr><tr><td>9. <input type="checkbox"/></td><td>English Translation Document</td><td>Pages</td></tr><tr><td>10. <input type="checkbox"/></td><td>Information Disclosure Statement <input type="checkbox"/> Copies of Citations ( references)</td><td>Pages</td></tr><tr><td>11. <input type="checkbox"/></td><td>Preliminary Amendment</td><td>Pages</td></tr><tr><td>12. <input checked="" type="checkbox"/></td><td>Return Receipt Postcard</td><td>Pages 1</td></tr><tr><td>13. <input type="checkbox"/></td><td>Certified Copy of Priority Document</td><td>Pages</td></tr><tr><td>14. <input type="checkbox"/></td><td>Nonpublication Request</td><td>Pages</td></tr><tr><td>15. <input checked="" type="checkbox"/></td><td>Constructive Petition</td><td>Pages 1</td></tr><tr><td>16. <input type="checkbox"/></td><td>Limited Authorization</td><td>Pages</td></tr><tr><td>17. <input checked="" type="checkbox"/></td><td>VAS Utility Patent Application Transmittal</td><td>Pages 1</td></tr><tr><td>18. <input checked="" type="checkbox"/></td><td>Other</td><td>Pages 1</td></tr></table>				1. <input checked="" type="checkbox"/>	Fee Transmittal Form	Pages 2		<input checked="" type="checkbox"/> Check Included		2. <input checked="" type="checkbox"/>	Applicant claims small entity status	Pages 1	3. <input checked="" type="checkbox"/>	Specification (including pg cover sheet, 141pg description, 4pg claims and 1pg abstract)	Pages 146	4. <input checked="" type="checkbox"/>	Drawings	Pages 40	5. <input checked="" type="checkbox"/>	Oath or Declaration	Pages 3		a. <input checked="" type="checkbox"/> Newly executed (original or copy)			b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed)			i. <input type="checkbox"/> Deletion of Inventor(s) - signed statement attached deleting inventors named in the prior application	Pages	6. <input checked="" type="checkbox"/>	Application Data Sheet	Pages 2	7. <input checked="" type="checkbox"/>	Assignment Papers (cover sheet & documents and check) <input checked="" type="checkbox"/> Previously recorded on Feb. 26, 2001, Reel 011569, Frames 0587	Pages 6	8. <input checked="" type="checkbox"/>	Power of Attorney <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)	Pages 1	9. <input type="checkbox"/>	English Translation Document	Pages	10. <input type="checkbox"/>	Information Disclosure Statement <input type="checkbox"/> Copies of Citations ( references)	Pages	11. <input type="checkbox"/>	Preliminary Amendment	Pages	12. <input checked="" type="checkbox"/>	Return Receipt Postcard	Pages 1	13. <input type="checkbox"/>	Certified Copy of Priority Document	Pages	14. <input type="checkbox"/>	Nonpublication Request	Pages	15. <input checked="" type="checkbox"/>	Constructive Petition	Pages 1	16. <input type="checkbox"/>	Limited Authorization	Pages	17. <input checked="" type="checkbox"/>	VAS Utility Patent Application Transmittal	Pages 1	18. <input checked="" type="checkbox"/>	Other	Pages 1
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19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet  <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part Of prior application no.  Prior Application Information: Examiner Group Art Unit  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.																																																																					
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Name		Edwin E. Voigt, Reg. No. 36,042																																																																			
Signature		Date 11/14/2001																																																																			

# FEE TRANSMITTAL

## for FY 2001

Patent fees are subject to annual revision.

### Complete if Known

Application Number	Pending
Filing Date	November 14, 2001
First Named Inventor	John C. Pederson et al.
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	N47.2-10241

TOTAL AMOUNT OF PAYMENT (\$536.00)

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees to:

Deposit Account Number 22-0350

Deposit Account Name Vidas, Arrett & Steinkraus

☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status.  
See 37 CFR 1.27

☒ Credit any overpayments to Deposit Account No. 22-0350  
Deposit Account Name: Vidas, Arrett & Steinkraus

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
101	201	740	370	Utility filing fee	370.00
102	206	330	165	Design filing fee	----
107	207	510	255	Plant filing fee	----
108	208	740	370	Reissue filing fee	----
114	214	160	80	Provisional filing fee	----

SUBTOTAL (1) \$ 370.00

#### 2. EXTRA CLAIM FEES

Total Claims 34 - 20\*\* = 14 X \$9.00 = 126.00  
Independent  
Claims 1 - 3\*\* = 0.00 X ---- = 0.00  
Multiple Dependent ----- = 0.00

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
103	203	18	9	Claims in excess of 20	
102	202	84	42	Independent claims in excess of 3	
104	204	280	140	Multiple dependent claim, if not paid	
109	209	84	42	**Reissue independent claims over original patent	
110	210	18	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$ 126.00

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
105	205	130	65	Surcharge - late filing fee or oath	----
127	227	50	25	Surcharge - late provisional filing fee or cover sheet	----
139	139	130	130	Non-English specification	----
147	147	2,520	2,520	For filing a request for <i>ex parte</i> reexamination	----
112	112	920*	920*	Requesting publication of SIR prior to Examiner action	----
113	113	1,840*	1,840*	Requesting publication of SIR after Examiner action	----
115	215	110	55	Extension for reply within first month	----
116	216	400	200	Extension for reply within second month	----
117	217	920	460	Extension for reply within third month	----
118	218	1,440	720	Extension for reply within fourth month	----
128	228	1,960	980	Extension for reply within fifth month	----
119	219	320	160	Notice of Appeal	----
120	220	320	160	Filing of Appeal	----
121	221	280	140	Request for oral hearing	----
138	138	1,510	1,510	Petition to institute a public use proceeding	----
140	240	110	55	Petition to revive - unavoidable	----
141	241	1,280	640	Petition to revive - unintentional	----
142	242	1,280	640	Utility issue fee (or reissue)	----
143	243	460	230	Design issue fee	----
144	244	620	310	Plant issue fee	----
122	122	130	130	Petitions to the Commissioner	----
123	123	50	50	Processing fee under 37 CFR 1.17(q)	----
126	126	180	180	Submission of Information Disclosure Stmt	----
581	581	40	40	Recording each patent assignment per property (times number of properties)	\$40.00
146	246	740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	----
149	249	740	370	For each additional invention to be examined (37 CFR § 1.129(b))	----
179	279	740	370	Request for Continued Examination (RCE)	----
169	169	900	900	Request for expedited examination of a design application	----
Other Fee (specify) _____				(\$)	
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$40.00)

Edwin E. Voigt, Reg. No. 36,042

Signature

Edwin E. Voigt II, Esq.

Telephone 952-563-3000

Date November 14, 2001

WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.